



Could Audit Taking Health & Safety Forward?

Speaker : C Y Yeung
24 November 2007

What is a “Safety Audit”?

Safety audit means an arrangement for:-

- (a) collecting, assessing and verifying information on the efficiency, effectiveness and reliability of a safety management system; and*
- (b) considering improvements to the system.*

Collecting information

(a) document

(b) photo

(c) interview

“Interview Notes”

Interview Notes

- (a) date, time of interview*
- (b) name*
- (c) position*
- (d) his employer*
- (e) interview / discussion contents*

What are the benefits of safety audit?

(a) upgrade safety knowledge and skill of management and supervisory staff as well as safety personnel

(b) enhance safety awareness of workers

(c) improve the efficiency, effectiveness and reliability of a safety management system

(d) reduce risk level

(e) minimize accident

(f) protect the image of an organization & client

What is a competent safety auditor?

(a) understand his task

(b) be competent to carry it out

(c) be familiar with the industry

(d) have a good knowledge of the safety management practice in the industry

(e) have the necessary experience and knowledge to enable him to evaluate performance and identify deficiencies effectively

- (a) respect for legitimate law*
- (b) impartiality / objectivity*
- (c) fairness*
- (d) honesty*
- (e) self-governing*
- (f) dignity & respect*
- (g) respect auditee*

Behavior of Auditor

- (a) fraud*
- (b) conflict of interest*
- (c) gifts, illegal advantages*
- (d) misuse of auditee resources*
- (e) divulging confidential information*
- (f) sexual harassment*

Auditor Professional Pyramid

- (a) Legal Compliance*
- (b) Legislation Governance Policies*
- (c) Code of Ethic*
- (d) General Conduct & Behavior*
- (e) “Mindset”*

Judgement Model

- (a) establish the relevant facts*
- (b) have an objective assessment*
- (c) consider the industry norm*
- (d) identify viable alternatives & their effects*
- (e) compare & evaluate consequences*
- (f) select the most appropriate actions*

Recommendation

“Review the health assurance programme to ensure adequate ventilation in the printing and photocopying machine room located at 11/F Head Office in order to fulfill OSH Regulation.”

Recommendation

“Pre-employment and medical examination programme is recommended for high risk activities.”

Referring to “A Guide to Medical Examinations for Workers engaged in Hazardous Occupations in Industrial Undertakings” issued by the Labour Department, please review the Safety Plan to define the following workers in industrial undertakings are required to undergo medical examination:

- workers exposed to ionizing radiation, asbestos or controlled carcinogenic substances; or*
- workers engaged in tunneling work, mines, quarries or compressed air work.*

Advices

(a) Where you draw the line is your choice

(b) Professional ethic begins with each person

(c) You can be help personally and legally responsible for your professional actions

(d) It is important to understand your attitude toward a professional safety auditor – it should be a factor in your choice of client

What is the ultimate objective of safety audit?

“Enabling the organization to reinforce, maintain and develop its ability to reduce risks to the fullest extent and to ensure the continued effectiveness of the safety management system.”

If you know the right thing,
does that mean that you will do the right
thing???

Mr. Auditor, please ask yourself
*“Could audit taking health and safety
forward?”*

- The End -
Thank You